

## Phylogeny of Flowering Plants by the Chloroplast Genome Sequences: in Search of a “Lucky Gene”

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**Abstract**—One of the most complicated remaining problems of molecular-phylogenetic analysis is choosing an appropriate genome region. In an ideal case, such a region should have two specific properties: (i) results of analysis using this region should be similar to the results of multigene analysis using the maximal number of regions; (ii) this region should be arranged compactly and be significantly shorter than the multigene set. The second condition is necessary to facilitate sequencing and extension of taxons under analysis, the number of which is also crucial for molecular phylogenetic analysis. Such regions have been revealed for some groups of animals and have been designated as “lucky genes”. We have carried out a computational experiment on analysis of 41 complete chloroplast genomes of flowering plants aimed at searching for a “lucky gene” for reconstruction of their phylogeny. It is shown that the phylogenetic tree inferred from a combination of translated nucleotide sequences of genes encoding subunits of plastid RNA polymerase is closest to the tree constructed using all protein coding sites of the chloroplast genome. The only node for which a contradiction is observed is unstable according to the different type analyses. For all the other genes or their combinations, the coincidence is significantly worse. The RNA polymerase genes are compactly arranged in the genome and are fourfold shorter than the total length of protein coding genes used for phylogenetic analysis. The combination of all necessary features makes this group of genes main candidates for the role of “lucky gene” in studying phylogeny of flowering plants.

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From the beginning of molecular systematics to the present day, the most popular phylogenetic markers in plants are various regions in the chloroplast genome. They are used both at the high taxonomic levels (divisions and classes) and at low levels (genera and species). In most cases protein-coding genes (and corresponding amino acid sequences) are used for molecular phylogenetic analysis at the high taxonomic level, although examples of successful usage in such cases of non-coding plastome sites are known [1, 2]. The sequence of the large subunit of the ribulose biphosphate carboxylase gene (*rbcL*) was one of first molecular markers. A work appeared in 1993 reporting the results of analysis of *rbcL* sequences in 475 species of seed plants [3]. Many conclusions of these authors were later confirmed. The set of chloroplast genes for phylogenetic analysis at the high

taxonomic level was subsequently supplemented with genes *matK* [4–6], *atpB* [7, 8], *rpoC1* [9], *ndhF* [10], *rps4* [11–13], and some others.

Nevertheless, different authors have repeatedly pointed out that phylogenetic analysis of a single gene or of a small number of genes could not give reliable and well resolved tree topologies [14–17], which according to many of these authors makes necessary building phylogenetic trees using the sequences of complete chloroplast genomes [18, 19]. However, accumulated data has shown that an insufficient taxon sampling can result in artifacts no less significant than insufficient gene sampling [20, 21]. Combined with the fact that sequencing and analysis of complete chloroplast genomes still remains a resource-consuming problem, this makes difficult their use for investigation of phylogeny.

Thus, an ideal phylogenetic marker should meet the following conditions: 1) a phylogenetic tree constructed using this marker should coincide with the tree construct-

*Abbreviations:* TNS) translated nucleotide sequences.

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ed using the complete genomes (for the same taxon sampling); 2) it must be significantly shorter, and 3) be arranged compactly. Conditions 2 and 3 are necessary to minimize resources (including computational ones) for sequencing and analysis of complete genomes, which would enable significant increase of taxon sampling analyzed. Hypothetical genes whose phylogenetic analysis gives results identical or even better than obtained for large multigene sets have been designated in the literature as "lucky genes" [22, 23]. Investigations on different groups of organisms suggest that the possibility of "lucky gene" detection and its type depend, first of all, on the group under study.

Thus, analysis of a joined set of 106 genes and sets of individual genes in yeasts of the genus *Saccharomyces* has shown that a combination of genes allows one to build a completely resolved tree with high (maximal) level of node support, whereas none of the individual genes is able to do the same [16]. A randomly selected 8000 nucleotides (from a set of over 100 kb in length) produced a tree topology identical to that obtained by analysis of the complete set and a high (over 95%) node support. To obtain the same result, it was necessary to combine no less than 20 genes (with mean length of about 1180 bp), and it does not matter which genes, only total length of the set is important. This means that in this case the sequence length but not a specific gene is crucial.

Another attempt to find such genes was undertaken with mitochondrial genes of fish [24]. It was shown that analysis of none of the genes most often used in phylogenetic studies gives results identical to those obtained on the complete genomic sequences. In this case, a group of genes with the best congruence of topologies was revealed. The same evaluations for mammals gave different results, i.e., "lucky genes" appeared to be different for two different classes of vertebrates [23].

The question whether it is possible to find a "lucky gene" for analysis of phylogeny of flowering plants is still open. The progress in sequencing complete chloroplast genomes (over 120 and 69 of them in flowering plants) makes possible an experiment on searching for this gene [25].

Complete chloroplast genomes of most higher plants have 120–217 kb in length and about 50% of them belong to the protein-coding genes. The number of genes used for molecular phylogenetic analysis of chloroplasts is 60–61 and total length of their alignment is about 45,000 bp (about 15,000 amino acid residues). The difference between the total number of protein-coding genes and genes used for molecular phylogenetic analysis at a high taxonomical level is due to a certain difference in gene content in different species. Thus, many genes of the *NDH* group are absent from *Phalaenopsis* [26], and some legumes do not contain genes *rps16* and *rpl22* [27]; naturally, when these taxons are included in a set, the genes absent from them are excluded from analysis. The goal of

this work was searching for a "lucky gene" for analysis of phylogeny of flowering plants—easily sequenced genes or their combinations whose phylogenetic analysis provides the best approximation to the results obtained using all protein-coding genes—or a proof that this is impossible.

## MATERIALS AND METHODS

A set of translated nucleotide sequences (TNS) of 61 chloroplast protein-coding genes in 41 species of flowering plants was used in this work, 40 of which were analyzed in previous works, and data for one species (*Fagopyrum esculentum*) have been obtained for the first time. Species *Pinus thunbergii* and *Ginkgo biloba* belonging to Gymnospermae were chosen as an out group.

Sequences were aligned using the MUSCLE program [28] with subsequent correction. The full length of alignment comprises 14,168 amino acid residues.

Phylogenetic analysis of all data sets, including the complete set, was carried out using the PAUP\* 4.08 program [29] with the following settings: optimization criterion, maximum parsimony; search for the most-parsimonious trees, heuristic. A hundred searches with random order of taxon additions were carried out, and gaps were considered as missing data.

Gene suitability (fitness) for phylogenetic analysis was analyzed by comparison of phylogenetic trees obtained for different genes or groups of genes with a phylogenetic tree obtained using all protein-encoding genes. For each resulting tree, the following items were determined.

1. The number of nodes in the tree that coincide by taxon composition with nodes of a tree based on the complete set. In this case, the tree structure inside nodes was not considered. This measure is similar to the value proposed by Simmons and Miya [30] and called the overall success of resolution (OSR), but OSR is the difference between the number of correctly resolved nodes and of those resolved incorrectly.

2. The number of erroneous nodes not coinciding with nodes of the tree constructed on the basis of the complete set. The value of this parameter was determined by subtraction of the number of correct nodes from the total number of nodes in the tree.

To determine the gene efficiency compared to random sequence of the same length, random samples of a predetermined length were analyzed. To do this, a fixed number of positions were randomly chosen from the complete set of 14,168 amino acids, and each obtained subalignment was analyzed by the same method and with the same criteria as the initial alignment. Random samples of 20, 40, 60, 80, 100, 200, 400, 600, 800, 1000, 2000, 4000, 6000, 8000, 10,000, 12,000, and 14,000 amino acids were analyzed. For analysis of same length sequences 10 independent samples were generated, and a

phylogenetic tree was constructed on the basis of each of these. Values of the studied parameters were calculated using the Microsoft Office Excel 2003 program.

The suitability of individual regions for phylogeny was analyzed both for separate genes and their combinations and for their functional groups as well: genes encoding proteins incorporated in the ATP-synthase enzymatic complex (*ATP*), ribosomal proteins of large (*RPL*) and small (*RPS*) ribosomal subunits, proteins incorporated in plastid RNA polymerase (*RPO*), proteins of cytochrome *f/b<sub>6</sub>* complex (*PET*), proteins of photosystem I (*PSA*) and II (*PSB*), proteins *ycf3* and *ycf4* (*YCF*) supposed to be involved in the assembly of the photosystem I complex, ATP-dependent chloroplast protease (*clpP*), protein of chloroplast membrane (*cemA*), a protein involved in cytochrome *c* biogenesis (*ccsA*), ribulose biphosphate carboxylase (*rbcL*), and maturase K (*matK*).

Groups of genes and corresponding TNS are designated here and below by capital letters and individual genes by lower-case letters, for example: *rpoA*, a gene encoding a subunit of plastid RNA polymerase; *RPO*, a group of genes encoding proteins incorporated in plastid RNA polymerase.

## RESULTS

Two trees of minimal length (29,337 steps) with consistency index of 0.544 are the result of phylogenetic analysis of the complete gene set. These trees differ in relative position of *Platanus* and members of the order Ranunculales (*Ranunculus* and *Nandina*). The topology of a strict consensus tree of these two trees (Fig. 1) is similar to those in the most recent works on phylogenetic analysis of complete chloroplast genomes [31–33], and in many respects it is similar to results of analysis of a small number of genes. Most nodes on the tree in Fig. 1 were revealed earlier with high support in the analysis of a large number of genes. Exceptions are in nodes 5, 10, 15, 17, 23, 24, 27, and 35. The tree was used as a reference for comparison with trees derived from individual genes and with trees obtained using random samples.

The number of correctly resolved nodes in trees obtained by analysis of random samples increases in proportion with their length (Fig. 2a). Topologies completely coinciding with the result of the full set analysis appear for the first time at the random sample length of 8000 amino acid residues. However, on average the number of resolved nodes at this length is lower—equal to 37.

Analysis of phylogenetic trees of translated nucleotide sequences of individual genes and their functional groups reveals three types.

1. The first type includes short genes and gene combinations with product length not exceeding 400–500 amino acid residues. Phylogenetic trees constructed using their TNS contain no more than 15 correctly resolved

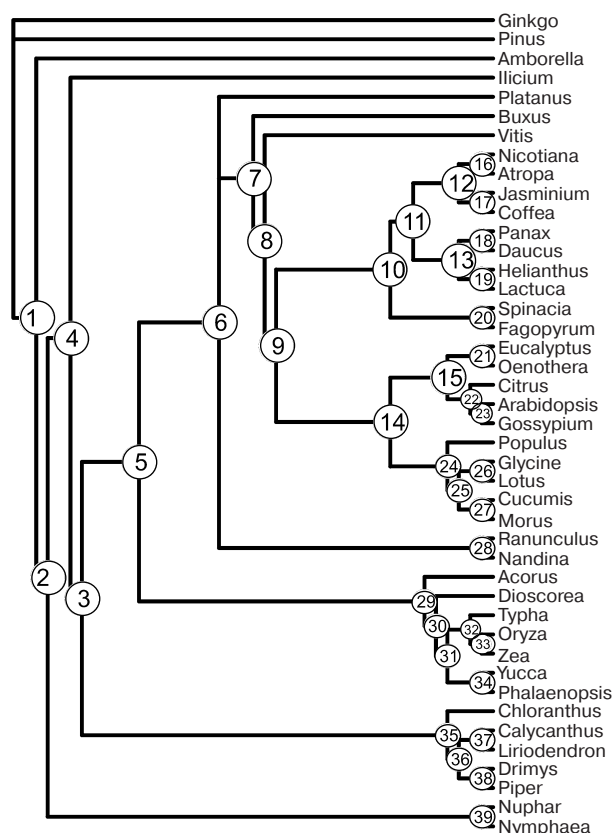
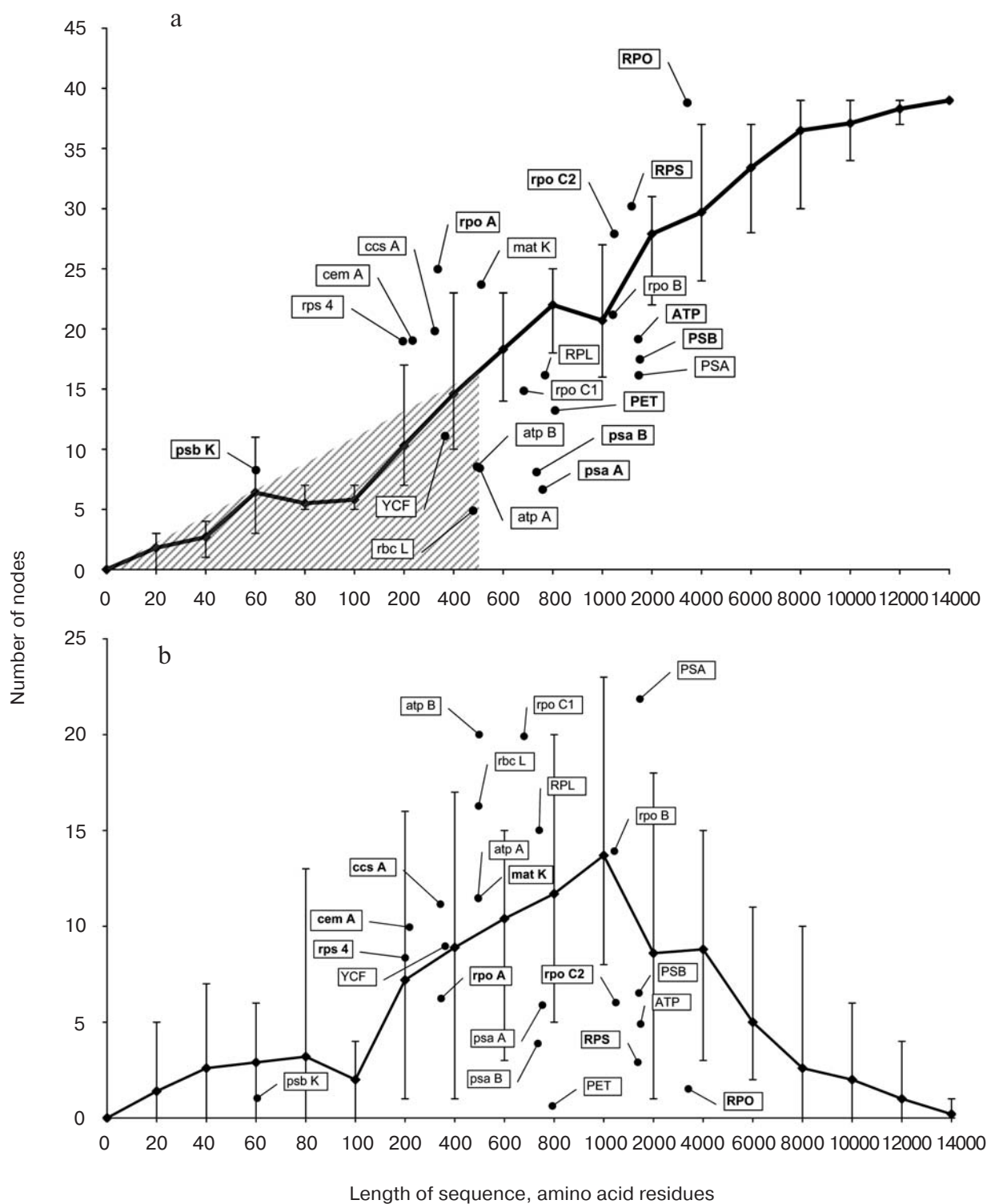


Fig. 1. A strict consensus tree obtained by analysis of a complete set of protein coding chloroplast sequences.

nodes. The number of correct nodes for these genes is lower than in trees inferred from random samples of the same length. The region of their localization in Fig. 1 is cross-hatched. This type, in particular, includes such widely used phylogenetic markers as *rbcL* and *atpB*, as well as the *YCF* that combines genes *ycf3* and *ycf4*.

2. Some genes and functional groups for which the number of correctly resolved nodes is lower than on the average for random samples of equivalent length. This type includes many groups of genes, in particular, those that combine sequences of genes *PET*, *PSA*, *ATP*, *PSB*, and *RPL*. In most cases the number of correctly resolved nodes is lower than minimally obtained using random samples, and only separate genes or groups of genes (*rpoC1*, *RPL*, *ATP*, *rpoB*) produce comparable resolution.

3. The third type includes eight genes and two groups, for which the number of correctly resolved nodes exceeds the mean in random samples of equivalent length. One gene of this group, *matK*, is often used in phylogenetic analysis, the other genes (*rpoC2*, *rps4*) being used less frequently or not at all. One gene of this type, *psbK*, is short (its TNS codes 60 amino acid residues) and conserved (10% variable characters), which makes its consideration as an independent phylogenetic marker



**Fig. 2.** a) Dependence of the number of correctly resolved nodes on the length of a random sample. Error bars show maximal and minimal values taken as parameters. Genes or groups of genes that were the basis for inferring phylogenetic trees with fewer incorrect nodes compared to the trees based on random sequences of equivalent length (positioned below the curve in Fig. 2b) are shown in bold. b) Dependence of the number of incorrectly resolved nodes on the length of a random sample. Error bars show maximal and minimal values taken as parameters. Genes or groups of genes that were the basis for inferring phylogenetic trees with a larger number of incorrect nodes compared to the trees based on random sequences of equivalent length (positioned above the curve in Fig. 2a) are shown in bold.



inexpedient, but it is possible to use it in multigene sets in combination with rapidly evolving genes.

Along with the number of correctly resolved nodes, a critical parameter for sequence usage in phylogenetic analysis is the number of incorrectly resolved nodes in the resulting tree. The existence of such nodes may result in formulation of false hypotheses.

The number of incorrectly resolved nodes increases in trees inferred from the analysis of random samples before the sequence under analysis reaches the length of 1000 amino acid residues, and the number of such nodes exceeds 60% of the total number of nodes. This is due to increase in the total number of nodes against the background of deficiency of informative characters for their correct resolution. In the case of further extension of the sequence length, the number of informative characters increases, and the number of incorrectly resolved nodes decreases (Fig. 2b).

Each of two earlier distinguished gene types (2 and 3) producing a high number of correctly resolved nodes when used in phylogenetic analysis contains both genes associated with a large numbers of incorrectly resolved nodes and those associated with a small number of such nodes (Fig. 2b and table). This allows us to divide all genes into four classes.

1. Genes or groups of genes that serve as a basis for phylogenetic trees with a low number of correctly resolved nodes and a high number of incorrect nodes.

Ratio of the number of correctly and incorrectly resolved nodes for genes and groups of type 2 and 3 genes. The number of correct/incorrect nodes and their ratios are shown in parentheses next to each gene (group)

	Number of incorrect nodes relative to the random sample of equivalent length	Number of correct nodes relative to the random sample of equivalent length	
		less	more
More		<i>rpoB</i> (21/14 = 1.5)	<i>rps4</i> (19/8 = 2.4)
		<i>RPL</i> (16/15 = 1.0)	<i>mat K</i> (23/12 = 1.9)
		<i>rpoC1</i> (15/20 = 0.75)	<i>cemA</i> (19/10 = 1.9)
		<i>PSA</i> (16/22 = 0.7)	<i>ccsA</i> (20/12 = 1.7)
		<i>atpA</i> (8/12 = 0.7)	
Less		<i>PET</i> (13/1 = 13)	<i>RPO</i> (38/2 = 19)
		<i>ATP</i> (19/5 = 3.8)	<i>RPS</i> (30/3 = 10)
		<i>PSB</i> (17/6 = 2.8)	<i>rpoC2</i> (28/6 = 4.7)
		<i>psaB</i> (8/4 = 2)	<i>rpoA</i> (25/7 = 3.6)
		<i>psaA</i> (7/6 = 1.1)	<i>peta</i> (14/4 = 3.5)

This class is rather heterogeneous and includes both rapidly evolving genes (*rpoB*, *rpoC1*) and highly conserved genes (the group of *PSA* genes); therefore, the explanations of the observed structures for trees of different genes are probably different. As to the rapidly evolving genes, their high variability may result in numerous reversions and parallel occurrences of features in not directly related groups (homoplasy), and this leads to a high number of false nodes. For highly conserved genes, such structure is evidently caused by the lack of variable characters (which is expressed by a small number of correctly resolved nodes) and evolutionary peculiarities of certain regions that could result in a situation when alterations in a given region contradict alterations in the whole genome.

2. The class including genes and groups of genes that are the basis for phylogenetic trees with a low number of correctly resolved nodes and few mistakes. The main reason for unresolved nodes in this case is lack of information due to a high conservativeness of sequences. Such genes may be perspective markers at a higher taxonomical level, like all seed plants, not only angiosperms. According to our results, the best for such analysis is the group of *PET* genes.

3. This class includes one of the most popular genetic markers, *matK*, and genes and groups of genes that are the basis for phylogenetic trees with a high number of both correct and incorrect nodes. All genes of this class are rapidly evolving, and the incorrectly resolved nodes are probably the result of homoplasy. Owing to this, the combination of these genes (*matK* + *cemA* + *ccsA*) gives a tree with characteristics (26/9 = 2.9) not much exceeding that of *matK*, although they are much longer. It is possible that it would be reasonable to use such genes only at a lower taxonomical level.

4. The last class includes mainly the group of *RPO* genes. Phylogenetic trees constructed on their basis have a great number of correctly resolved nodes and a small number of false ones, which makes them the main candidate for the "lucky gene".

## DISCUSSION

We have found that the best approximation to the result of analysis of the complete set of sequences is observed in the *RPO* group that combines genes *rpoA*, *rpoB*, *rpoC1*, and *rpoC2*, encoding different subunits of plastid RNA polymerase. The tree inferred from such a set has completely resolved topology (unlike the tree inferred from complete sets and having one unresolved node) and just a single node that does not coincide with the tree inferred from a complete set. However, two genes of this group (*rpoB* and *rpoC1*) belong to class 1 genes that are not ideal for phylogenetic analysis (although they are the best in this class). To check whether there is a more appropriate combination of individual genes, we studied

phylogenetic trees inferred from the gene groups including genes *rpoA* and *rpoC2* as well as the best genes from other groups. All studied combinations gave worse results than those obtained with a combination of all genes of the *RPO* group. Thus, the tree based on the combination *rpoA*, *rpoC2*, *ccsA*, and *cemA* gives 33 correctly resolved nodes and the combination *rpoA* + *rpoC2* + *matK* produces 36 such nodes.

A sufficiently good result (30 correctly resolved nodes and three incorrect ones) was obtained with the combination of *RPS* (ribosomal proteins of the small ribosomal subunit). However, this group cannot be considered "lucky genes" because in technical aspect obtaining the sequences of these genes is much more complicated than in the case of *RPO* genes. This is so because there are only four *RPO* genes and their arrangement is quite compact (*rpoB*, *rpoC1*, and *rpoC2* follow one another, making up a single operon), whereas the chloroplast genome contains 11 genes of ribosomal proteins of the small ribosomal subunit, and they are dispersed: eight in the large single copy region, one in the small one, and three in the inverted repeat (for gene *rps12*, trans-splicing is characteristic; its 5' end is located in a large single copy region and its 3' end is in the inverted repeat—therefore, it is counted twice).

It should be noted that although the combination of genes *rpoA*, *rpoB*, *rpoC1*, and *rpoC2* is proposed for the first time as a phylogenetic marker, separate genes from this group have already attracted attention of researchers in molecular systematics and phylogeny. Thus, in a recent work by Qiu et al. [34] the multigene phylogeny of flowering plants was analyzed with involvement of *rpoC2*. Attention was paid to *rpoB* and *rpoC1* in searching for a universal DNA barcode (the genome region that makes possible species identification) for land plants [35]. Thus, the representativeness of at least some of these genes in the GenBank database is sufficiently high and will probably increase, which is of no small importance for a phylogenetic marker.

However, it is known that such event as RNA editing is characteristic of the chloroplast gene transcripts. This process was demonstrated experimentally in bryophytes [36] and some other spore-bearing plants [37], as well as in flowering plants [38], whereas in the latter genes of the *RPO* group are among transcripts most susceptible to editing. Thus, in a member of Orchidaceae family *Phalaenopsis*, 15 sites of editing (non-synonymous) were found in these genes, which results in differences between real and predicted protein sequences. The effect of RNA editing on phylogeny reconstruction is still poorly studied, although Qiu et al. [34] report that the editing site inclusion or exclusion only slightly influenced some weakly supported and unreliable nodes. Further investigation of the *RPO* group genes, carried out for different groups of flowering plants and with account of their peculiarities (such as RNA editing), will make possible better

understanding of their possibilities and limitations as phylogenetic markers, able to replace completely or in part the information on complete chloroplast genomes.

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